

# COUNCIL – 9TH JANUARY 2011

## Report of the Cabinet

### ITEM 6.1 CAPITAL PLAN AMENDMENT REPORT

#### Purpose of Report

To consider a recommendation of Cabinet, concerning changes to the 2011/12 to 2012/13 Capital Plan, and its financing, including changes up to 5th December 2011.

#### Recommendation

That the current Capital Plan for 2011/12 to 2012/13 in the sum of £14,301.9k be approved.

#### Reason

To enable the Capital Plan to be the basis for capital spending by the Council and so that schemes may proceed.

#### Policy Justification and Previous Decisions

The Capital Plan is an integral element of all policies.

At its meeting on 22nd December 2011, Cabinet considered a report of the Head of Finance and Property Services, which set out changes to the 2011/12 to 2012/13 Capital Plan, and its financing, and included changes up to 5th December 2011 (Minute 77, 2011/2012). The report is attached as an Annex.

The Cabinet resolved that it be recommended to Council that the current Capital Plan for 2011/12 to 2012/13 in the sum of £14,301.9k be approved.

The reason for the Cabinet's recommendation was to enable the Capital Plan to be the basis for capital spending by the Council and so that schemes may proceed.

#### Implementation Timetable including Future Decisions

This report is also available for scrutiny by the Performance Scrutiny Panel on 14th February 2012.

#### Report Implications

The following implications have been identified for this report.

#### *Financial Implications*

There are no further financial implications associated with these recommendations.

#### *Risk Management*

There are no specific risks associated with these recommendations.

Key Decision: Yes

Background Papers: No additional background papers.

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22nd December 2011

**Report of the Head of Finance and Property Services**

**Lead Member: Cllr T Barkley**

**Part A**

**ITEM 7                    Capital Plan Amendment Report**

Purpose of the Report

This report requests Cabinet to consider changes to the 2011/12 to 2012/13 Capital Plan, and its financing, prior to approval by Council, and includes changes up to 5<sup>th</sup> December 2011.

Recommendation

That Council is recommended to approve the current Capital Plan for 2011/12 to 2012/13 in the sum of £14,301.9k.

Reason

To enable the Capital Plan to be the basis for capital spending by the Council and so that schemes may proceed.

Policy Justification

The Capital Plan is an integral element of all policies.

Implementation Timetable including Future Decisions and Scrutiny

Overview Scrutiny Group will have the opportunity to consider this report on 19 December 2011. This report is also available for scrutiny by the Performance Scrutiny Panel on 14 February 2012 after Council.

Financial Implications

The financial implications of the recommendations are covered in the body of this report.

## Risks

Risks Identified	Likelihood	Impact	Managing Risk	Risk Management Actions Planned
Insufficient funding	Possible	Major	Amber	The funding of the Capital Plan is regularly monitored and any apparent shortfalls are brought to the attention of Cabinet with suggested solutions.
General Risks associated with capital expenditure	Possible	Moderate	Amber	The Capital Plan is controlled through Project Boards for larger schemes and Project Officers for smaller schemes. Progress, risks and possible problems are notified to these boards and to the Capital Programme Team for all projects of £50k or more. Such risks are identified and dealt with and reported as necessary to Cabinet and the Performance Scrutiny Panel.

Key Decision: Yes

Background Papers: Minutes of Cabinet Meetings  
Capital Plan Files – Accountancy Section

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## Part B

### I. Background - Capital Plan

Since the last Capital Plan Amendment Report in September 2011 a number of amendments and additions to the Capital Plan have been put forward. These changes have affected the overall total and the funding of the Plan and, those requiring an amendment to the expenditure budget, are set out in Appendix I. This report summarises these changes and, if approved, becomes the current Capital Plan for 2011/12 to 2012/13.

The net effects of these changes on the 2011/12 Capital Plan are as follows:

<b>2011/12 Capital Plan</b>	<b>£'000</b>
2011/12 Capital Plan as at 29 September 2011	14,729
Net Fully funded new/amended schemes	48
Deleted Schemes	(475)
<b>Amended 2011/12 Capital Plan</b>	<b>14,302</b>

<b>Funded by:</b>	<b>£'000</b>
General Fund:	
Capital Receipts	1,980
Grants, Contributions and Revenue Contributions	4,502
Contributions from LABGI and Reinvestment Reserve	193
Total General Fund	6,675
HRA:	
MRA and Decent Homes for 2011/12	6,434
Capital Receipts	628
Grants, Contributions and Revenue Contributions	565
Total HRA	7,627
<b>Total Funding for 2011/12</b>	<b>14,302</b>

The 2011/12 Capital Plan will be adequately funded and there is £2,273k, excluding MRA/Decent Homes funding, available to fund General Fund capital expenditure in 2012/13 onwards. As previously mentioned this is a much lower level of funding compared with previous three years plans. As the Council's future revenue position is susceptible to reduction in government grants it would not provide much scope for Prudential Borrowing. Whilst there is some Section 106 funding this is not for general

use and has to be matched to specific schemes, normally encompassing both the locality and activities to be covered, that fulfil the criteria.

Details of the decisions and amendments are listed on the attached Appendix 1 and the current Capital Plan, including the changes in Appendix 1, is included at Appendix 2.

## 2. Further Information on Major Changes Requested

- Extension to Birstall Sports Pavilion £11.4k – this is a fully funded S106 scheme. Funds are to be passed to Birstall Parish Council for the pavilion at School Lane Playing Fields.
- Derby Road Depot, £37k – roof refurbishment fully funded by revenue and included in the capital plan so that the expenditure is correctly accounted for as capital rather than revenue.
- Southfields – Office Accommodation Strategy – Leicestershire County Council Adult Services – this £75k scheme has now been deleted as LCC withdrew its staff relocation proposal.
- Hallam Fields Community Building, this £400k scheme has been deleted from the plan as there is no prospect of the project commencing within the next 18 months, as Birstall Parish Council do not currently wish to commit to the Community Building. This scheme was to be 100% funded by a future S106 contribution; if the scheme is unable to proceed the S106 agreement may need to be renegotiated with the developer.
- Responsive Central Heating, £100k – this is a virement from Planned Central Heating to meet demands of responsive failures on heating systems.
- Reroofing – vire £20k to Major Disabled Adaptations (HRA) and vire £6k to Minor Disabled Adaptations (HRA) to meet demand for adaptations.
- Kitchens and Bathrooms – £12.8k vired to tenant bids as not required for Kitchens and Bathrooms in 2011/12.

## 3. Appendices

Appendix 1 - Capital Plan Amendment Report

Appendix 2 - Capital Plan 2011/12

**CAPITAL PLAN AMENDMENT REPORT 2011/12**

**Appendix I**

	<b>2011/12</b>	<b>2012/13</b>
	<b>£</b>	<b>£</b>
Capital Plan Amendment Report 29th September 2011 Minute 53	14,728,500	112,000
<u>J Robinson 25th October 2011</u>		
Extension to Birstall Sports Pavillion - fully funded from S106 monies	11,400	
<u>T Mehta - 3rd November 2011</u>		
Kitchen & Bathroom - vired to Tenants Bids	-12,800	
Tenants Bids - vired from Kitchens & Bathroom	12,800	
<u>S Harvey - 11th Novemeber 2011</u>		
Derby Road Depot - Roof Replacement	37,000	
<u>Capital Programme Team - 5th December 2011</u>		
Southfields - Office Accommodation Strategy - LCC Adult Services - scheme deleted	-75,000	
Responsive Central Heating - vired from Planned Central Heating	100,000	
Planned Central Heating - vire to Responsive Central Heating	-100,000	
Major Disabled Adaptations - HRA - vired from re-roofing	20,000	
Minor Disabled Adaptations - HRA - vired from re-roofing	6,000	
Re-roofing - vire to Major and Minor Adaptations HRA	-26,000	
Hallam Fields Community Building - fully funded from S106 monies - scheme deleted	-400,000	
Total Update Report	14,301,900	112,000
Current Capital Plan 2011/12	14,301,900	112,000

**CAPITAL PLAN 2011/12**

Appendix 2

Scheme Details	Total	Spend Before	2011/12 Original	2011/12			2012/13		External Funding		
				Current	Actual Spend	Balance	Original	Current	2011/12	2012/13	
				Budget	18/11/11	£	Plan	Budget			
Cost	2011/12	Budget	Budget	£	£	£	£	£	£		
<b>CAPITAL PLAN BY DIRECTORATE</b>											
Community Wellbeing	2,873,842	1,959,442	0	804,900	407,038	397,862	0	109,500	245,400	109,500	
Corporate Services	10,931,940	6,661,940	331,000	4,270,000	1,672,824	2,597,176	0	0	3,335,900	0	
Housing, Planning & Regeneration & Regulatory Services - General Fund	7,224,183	5,621,683	1,240,000	1,600,000	886,694	713,306	0	2,500	907,600	0	
<b>Sub-Total - General Fund</b>	<b>21,029,965</b>	<b>14,243,065</b>	<b>1,571,000</b>	<b>6,674,900</b>	<b>2,966,556</b>	<b>3,708,344</b>	<b>0</b>	<b>112,000</b>	<b>4,488,900</b>	<b>109,500</b>	
Housing, Planning & Regeneration & Regulatory Services - HRA	29,083,905	21,456,905	3,706,000	7,627,000	4,650,672	2,976,328	0	0	6,636,700	0	
<b>Grand Total</b>	<b>50,113,870</b>	<b>35,699,970</b>	<b>5,277,000</b>	<b>14,301,900</b>	<b>7,617,228</b>	<b>6,684,672</b>	<b>0</b>	<b>112,000</b>	<b>11,125,600</b>	<b>109,500</b>	
<b>Community Wellbeing</b>											
JR Z263	Charnwood Community Grants	399,979	328,579	0	71,400	41,253	30,147	0	0	0	0
JR Z051	Bottleacre Lane, Loughborough - Multi-use Games Area	0	0	0	0	-840	840	0	0	0	0
DW Z167	Barkby Brook Improvements	99,967	56,367	0	43,600	0	43,600	0	0	0	0
JR Z041	Cultural & Leisure Services Asset Management Works	183,920	174,520	0	9,400	5,920	3,480	0	0	0	0
JR Z192	Syston Recreational Projects	213,752	130,552	0	83,200	68,572	14,628	0	0	83,200	0
JR Z193	Thurmaston Multi Use Games Area	33,353	30,553	0	2,800	0	2,800	0	0	2,800	0
NG Z164	Anstey youth & adult recreation & pitch projects (incl Mitchell's Field)	177,269	35,369	0	141,900	0	141,900	0	0	131,800	0
JR Z165	Nanpantan Sports Ground	519,983	257,783	0	262,200	240,155	22,045	0	0	0	0
NG Z265	Green Flag Parks	160,098	135,298	0	24,800	2,827	21,973	0	0	0	0
JR Z286	Sports Ground Improvement Scheme	30,255	9,355	0	20,900	22,405	-1,505	0	0	0	0
NG Z287	Cemetery Improvement Scheme	39,012	37,612	0	1,400	0	1,400	0	0	0	0
NG Z288	Leisure Services Parks & Sports Ground Asset Management Scheme	89,281	33,881	0	55,400	-302	55,702	0	0	0	0
NG Z298	Southfields Park Recreational Improvements	275,319	259,119	0	16,200	15,624	576	0	0	16,200	0
SW Z327	Public Conveniences - Charging Equipment	102,995	81,095	0	21,900	0	21,900	0	0	0	0
NG	Bradgate Road, Anstey - Play Area Scheme	109,500	0	0	0	0	0	0	109,500	0	109,500
JR Z344	Extension to Birstall Sports Pavillion	11,400	0	0	11,400	11,424	-24	0	0	11,400	0
<u>Block Sums</u>											
SW Z057	Public Art Programme	12,950	150	0	12,800	0	12,800	0	0	0	0
JR Z692	Community Sports Facility Programme	319,926	301,426	0	18,500	0	18,500	0	0	0	0
JR Z052	Play Areas Disability Access Grants Scheme	94,883	87,783	0	7,100	0	7,100	0	0	0	0
<b>Community Wellbeing - Total</b>	<b>2,873,842</b>	<b>1,959,442</b>	<b>0</b>	<b>804,900</b>	<b>407,038</b>	<b>397,862</b>	<b>0</b>	<b>109,500</b>	<b>245,400</b>	<b>109,500</b>	

**CAPITAL PLAN 2011/12**

Appendix 2

Scheme Details			Total	Spend Before	2011/12 Original	2011/12			2012/13		External Funding	
						Current	Actual Spend	Balance	Original	Current	2011/12	2012/13
Cost	2011/12	Budget	Budget	18/11/11	£	£	£	£	£	£		
<b>Corporate Services</b>												
KB	Z085	Replacement Hardware Programme	661,440	571,440	150,000	90,000	37,745	52,255	0	0	0	0
KB	Z317	Web Development	38,440	28,440	0	10,000	2,485	7,515	0	0	0	0
KB	Z331	Development of the Infrastructure	20,000	0	0	20,000	0	20,000	0	0	0	0
KB	Z332	New Infrastructure Requirements	30,000	0	0	30,000	0	30,000	0	0	0	0
KB	Z318	Vmware Upgrade	50,000	0	0	50,000	0	50,000	0	0	0	0
KB	Z319	PCI DSS	20,023	5,923	0	14,100	0	14,100	0	0	0	0
KB	Z320	Sharepoint Upgrade	3,005	405	0	2,600	0	2,600	0	0	0	0
KB	Z336	Storage Area Network (SAN)	30,000	0	0	30,000	14,050	15,950	0	0	0	0
SH	Z126	Loughborough Eastern Gateway	6,249,988	3,474,588	0	2,775,400	1,115,692	1,659,708	0	0	2,370,900	0
SH	Z312	Loughborough Railway Station Forecourt	1,237,960	52,560	0	1,185,400	454,549	730,851	0	0	965,000	0
SH	Z152	Southfields - Office Accommodation Strategy - Phase 3	943,364	943,364	0	0	-7,695	7,695	0	0	0	0
SH	Z284	Beehive Lane Car Park - Energy Efficient Lighting Installation	75,581	75,581	0	0	-1,837	1,837	0	0	0	0
SH	Z297	Southfields Annexe Site - Disposal & Associated Costs	233,082	233,082	0	0	-500	500	0	0	0	0
SH	Z305	Old Magistrates Court - Refurbishment	984,140	984,140	0	0	14,000	-14,000	0	0	0	0
SH	Z323	Southfields Phase 2 - Refurbishment	299,917	292,417	0	7,500	18,752	-11,252	0	0	0	0
SH	Z337	Southfields - Office Accommodation Strategy - LCC Adult Services	0	0	0	0	11,267	-11,267	0	0	0	0
SH	Z333	Planned Property Refurbishment	0	0	181,000	0	0	0	0	0	0	0
SH	Z345	Derby Road Depot - Roof Replacement	37,000	0	0	37,000	0	37,000	0	0	0	0
AL	Z341	Credit Card Surcharge Module	18,000	0	0	18,000	14,316	3,684	0	0	0	0
<b>Corporate Services - Total</b>			<b>10,931,940</b>	<b>6,661,940</b>	<b>331,000</b>	<b>4,270,000</b>	<b>1,672,824</b>	<b>2,597,176</b>	<b>0</b>	<b>0</b>	<b>3,335,900</b>	<b>0</b>

**CAPITAL PLAN 2011/12**

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				Current	Actual Spend	Balance	Original	Current	2011/12	2012/13		
				Budget	18/11/11	£	Plan	Budget				
Cost	2011/12	Budget	Budget	£	£	£	£	£	£			
<b>Housing, Planning &amp; Regeneration &amp; Regulatory Services - General Fund</b>												
MT	Z170	EIP - Heritage Plaque Scheme	2,977	377	0	2,600	0	2,600	0	0	0	0
MT	Z178	PAP - Parish Green, Loughborough	9,000	0	0	9,000	0	9,000	0	0	0	0
MT	Z839	Shakespeare Street, Loughborough	72,608	71,408	0	1,200	0	1,200	0	0	0	0
MT	Z040	Rothley Lodge Wildlife Area	71,044	15,844	0	55,200	0	55,200	0	0	55,200	0
MT	Z292	Hallam Fields Community Building	0	0	0	0	0	0	0	0	0	0
MT	Z303	Town & Village Regeneration Schemes	44,100	0	0	44,100	0	44,100	0	0	22,300	0
MT	Z309	St Peter's Court, Syston & Lonsdale Road, Thurmaston	52,987	52,587	0	400	0	400	0	0	0	0
MM	Z273	Planning/Building Control and Land Charges System - Northgate	67,674	49,474	0	18,200	761	17,439	0	0	14,200	0
AT	Z342	Air Quality Monitor	13,400	0	0	13,400	13,421	-21	0	0	0	0
<u>Block Sums</u>												
JR	Z800	Historic Building Grants	151,314	136,314	15,000	12,500	9,004	3,496	0	2,500	0	0
<u>Renovation Grants</u>												
RS	Z200	Renovation Grants	807,308	632,308	425,000	175,000	36,701	138,299	0	0	0	0
RS	Z210	Disabled Facilities Grants	4,112,752	3,476,252	550,000	636,500	436,075	200,425	0	0	334,000	0
RS	Z141	Regional Housing Pot Grant	1,819,019	1,187,119	0	631,900	390,732	241,168	0	0	481,900	0
<u>Social Housing Schemes</u>												
DS	Z269	Enabling Grants - Block Sum	0	0	250,000	0	0	0	0	0	0	0
<b>Housing, Planning &amp; Regeneration &amp; Regulatory Services - General Fund - Total</b>			<b>7,224,183</b>	<b>5,621,683</b>	<b>1,240,000</b>	<b>1,600,000</b>	<b>886,694</b>	<b>713,306</b>	<b>0</b>	<b>2,500</b>	<b>907,600</b>	<b>0</b>

CAPITAL PLAN 2011/12

Appendix 2

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				Current	Actual Spend	Balance	Original	Current	2011/12	2012/13	
				Budget	18/11/11	£	Plan	Budget			£
Cost	2011/12	Budget	Budget	£	£	£	£	£	£		
<b>Housing, Planning &amp; Regeneration &amp; Regulatory Services - HRA</b>											
EM	Decent Homes	4,041,699	4,041,699	0	0	0	0	0	0	12,800	0
TM	Z448 Kitchen & Bathroom	2,582,817	2,081,317	1,423,000	501,500	39,669	461,831	0	0	488,700	0
TM	Z005 Planned Central Heating	3,310,389	2,030,389	400,000	1,280,000	844,248	435,752	0	0	1,280,000	0
TM	Z011 PVCu Window	2,565,721	2,453,621	450,000	112,100	52,467	59,633	0	0	112,100	0
TM	Z402 Responsive Central Heating	4,701,516	4,101,516	500,000	600,000	464,037	135,963	0	0	600,000	0
TM	Z434 Asbestos	474,110	454,110	50,000	20,000	0	20,000	0	0	20,000	0
TM	Z454 Electrical Upgrades	478,734	79,934	0	398,800	329,598	69,202	0	0	398,800	0
TM	Z294 Major Improvements to Voids	255,316	155,316	0	100,000	43,439	56,561	0	0	100,000	0
TM	Z459 Re-roofing	120,000	0	0	120,000	18,025	101,975	0	0	120,000	0
TM	Z857 Housing Contracts Procurement Costs	1,656,444	1,207,844	333,000	448,600	299,030	149,570	0	0	448,600	0
TM	Z443 Neighbourhood Renewal	635,897	635,897	100,000	0	0	0	0	0	0	0
<u>Disabled Adaptations</u>											
TM	Z300 Major Adaptations	2,754,523	2,434,523	300,000	320,000	247,672	72,328	0	0	320,000	0
TM	Z301 Minor Adaptations	203,746	137,746	50,000	66,000	55,968	10,032	0	0	66,000	0
TM	Z302 Stairlifts	76,816	36,816	50,000	40,000	28,029	11,971	0	0	40,000	0
TM	Z433 Tenants Bids	236,757	203,957	50,000	32,800	6,516	26,284	0	0	32,800	0
TM	Z456 Non-Traditional Refurbishment	2,245,457	244,457	0	2,001,000	1,700,365	300,635	0	0	2,001,000	0
TM	Z457 Loft Insulation	251,947	251,947	0	0	-424	424	0	0	0	0
TM	Z326 Aingarth Sheltered Housing - Access Rights	87,009	12,209	0	74,800	54,422	20,378	0	0	0	0
TM	Z329 CESP Heating and Insulation Project	290,000	0	0	290,000	0	290,000	0	0	200,000	0
TM	Z335 Dudley Court Sibley - 1st floor Conversion of Bedsits	256,000	0	0	256,000	20,349	235,651	0	0	193,000	0
TM	Z339 Dudley Court Sibley - Ground Floor Conversion of Bedsits	225,000	0	0	225,000	0	225,000	0	0	0	0
TM	Z340 Aingarth, Loughborough - Conversion of Bedsits	137,000	0	0	137,000	4,369	132,631	0	0	0	0
SH	Z455 New Build Council Houses	1,437,007	893,607	0	543,400	442,893	100,507	0	0	202,900	0
TM	Z343 Asset Management System	60,000	0	0	60,000	0	60,000	0	0	0	0
<b>Housing, Planning &amp; Regeneration &amp; Regulatory Services - HRA - Total</b>		<b>29,083,905</b>	<b>21,456,905</b>	<b>3,706,000</b>	<b>7,627,000</b>	<b>4,650,672</b>	<b>2,976,328</b>	<b>0</b>	<b>0</b>	<b>6,636,700</b>	<b>0</b>

